# **CHAPTER 1 - DEPARTMENTAL RULES**

### SUBCHAPTER 1A - GENERAL PROVISIONS AND ORGANIZATION

#### SECTION .0100 - GENERAL PROVISIONS

13 NCAC 01A .0101 NAME: ADDRESS: HOURS

13 NCAC 01A .0102 PURPOSE

13 NCAC 01A .0103 COMMISSIONER

13 NCAC 01A .0104 DEPUTY COMMISSIONER: ASSISTANT TO THE COMMISSIONER

History Note: Authority G.S. 95-1; 95-2; 95-4; 95-11; 143A-67; 143A-68;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. April 1, 2001.

**SECTION .0200 - ORGANIZATION** 

#### 13 NCAC 01A .0201 DIVISIONS

History Note: Authority G.S. 74-24.19; 94-4; 95-4; 95-11; 95-12; 95-35; 95-36.3; 95-47.9; 95-69.12; 95-133;

143A-69:

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. April 1, 2001.

13 NCAC 01A .0202 CONTROLLER'S OFFICE 13 NCAC 01A .0203 COMMUNICATIONS OFFICE

13 NCAC 01A .0204 PERSONNEL OFFICE

History Note: Authority G.S. 95-4;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. July 1, 1988.

# SUBCHAPTER 01B - RULE-MAKING AND ADMINISTRATIVE HEARING PROCEDURES

# **SECTION .0100 - RULE-MAKING**

# 13 NCAC 01B .0101 INSTRUCTIONS FOR FILING A PETITION FOR RULE-MAKING

- (a) Any person may petition the Commissioner of Labor to adopt a new permanent rule, or amend or repeal an existing permanent rule by submitting a rule-making petition to the Rulemaking Coordinator, N.C. Department of Labor, 1101 Mail Service Center, Raleigh, NC 27699-1101.
- (b) The petition shall be titled "Petition for Rule-making" and shall include the following information:
  - (1) the name and address of the person submitting the petition;
  - (2) a citation to any rule for which an amendment or repeal is requested;
  - (3) the text of any proposed rule or amended rule; and
  - (4) a statement of the effect of the requested rule change on the Department of Labor to include the effect on those over whom the agency has jurisdiction.
- (c) The petition may include the following to provide clarifying information to the Commissioner of Labor:
  - (1) documents or data supporting the petition;
  - a statement of the reasons for adoption of the proposed rule(s), amendment, or repeal of an existing rule(s), to include why the proposed rule(s) or amendment is requested;
  - (3) a statement explaining the costs and computation of the cost factors, if known;

- (4) a description, including named entities, if known, of those most likely to be affected by the proposed rule(s):
- (5) the statutory authority for the agency to promulgate the rule(s); and
- (6) any other information the person submitting the petition considers relevant.
- (d) The Commissioner of Labor shall grant or deny a petition for rule-making within 30 days of the date the rule-making petition is received by the agency. In making the decision, the Commissioner of Labor shall consider the information submitted with the petition and any other relevant information.
- (e) In the review of the proposed permanent rule, the Commissioner of Labor shall consider whether the Department has authority to adopt the rule; the effect of the proposed rule on existing rules, programs, and practices; probable costs and cost factors of the proposed rule; and the impact of the rule on the public and regulated entities.
- (f) When the Commissioner of Labor denies a petition for rule-making, a written notice of the denial shall be sent to the person who submitted the request. The notice shall state the reason for the denial.
- (g) When the Commissioner of Labor grants a rule-making petition, the Rulemaking Coordinator shall initiate permanent rule-making proceedings and send written notice of the proceedings to the person who submitted the request.

*History Note: Authority G.S.* 95-4(2); 150B-20;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. April 1, 2001; July 1, 1988; December 1, 1985;

Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. July 22, 2018;

Amended Eff. May 1, 2022; January 1, 2020.

## 13 NCAC 01B .0102 MAILING LIST

(a) Any person or agency desiring to be placed on the mailing list for the Department's rule-making notices issued pursuant to G.S. 150B-21.2(d) may file a request in writing to the Commissioner of the Department at 1101 Mail Service Center Raleigh, NC 27699-1101.

(b) The request shall:

- (1) include the person's name and address;
- (2) specify the subject areas within the authority of the Department for which notice is requested; and
- (3) state the calendar year(s) for which the notice is desired.

History Note: Authority G.S. 95-4(2); 150B-21.2;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. April 1, 2001;

Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. July 22, 2018;

Amended Eff. May 1, 2022.

# 13 NCAC 01B .0103 DISPOSITION OF PETITIONS

History Note: Authority G.S. 95-4(2); 150B-16;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. April 1, 2001;

Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. July 22, 2018;

Repealed Eff. May 1, 2022.

# SECTION .0200 - NOTICE OF RULE-MAKING HEARINGS

# 13 NCAC 01B .0201 TIMING OF NOTICE

History Note: Authority G.S. 95-4(2); 150B-12(2);

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. July 1, 1988.

13 NCAC 01B .0202 NOTICE MAILING LIST 13 NCAC 01B .0203 ADDITIONAL INFORMATION

History Note: Authority G.S. 95-4; 150B-12;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. July 1, 1988; December 1, 1985;

Repealed Eff. April 1, 2001.

#### SECTION .0300 - RULE-MAKING HEARINGS

13 NCAC 01B .0301
13 NCAC 01B .0302
13 NCAC 01B .0303
13 NCAC 01B .0304
13 NCAC 01B .0305
13 NCAC 01B .0306
13 NCAC 01B .0306
13 NCAC 01B .0307
REQUEST TO PARTICIPATE
CONTENTS OF REQUEST: GENERAL TIME LIMITATIONS
RECEIPT OF REQUEST: SPECIFIC TIME LIMITS
WRITTEN SUBMISSIONS
PRESIDING OFFICER: POWERS AND DUTIES
STATEMENT OF REASONS FOR DECISION
RECORD OF PROCEEDINGS

History Note: Authority G.S. 95-4; 150B-12;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. July 1, 1988; December 1, 1985;

Repealed Eff. April 1, 2001.

#### 13 NCAC 01B .0308 EMERGENCY RULES

*History Note: Authority G.S.* 95-4; 74A-1; 150B-13;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. July 1, 1988.

#### **SECTION .0400 - DECLARATORY RULINGS**

13 NCAC 01B .0401 SUBJECTS OF DECLARATORY RULINGS
13 NCAC 01B .0402 SUBMISSION OF REQUEST FOR RULING
13 NCAC 01B .0403 DISPOSITION OF REQUESTS
13 NCAC 01B .0404 RECORD OF DECISION

13 NCAC 01B .0405 DEFINITION

History Note: Authority G.S. 95-4; 150B-17;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. July 1, 1988; December 1, 1985;

Repealed Eff. April 1, 2001.

#### SECTION .0500 - ADMINISTRATIVE HEARING PROCEDURES

13 NCAC 01B .0501 RIGHT TO HEARING 13 NCAC 01B .0502 PETITION FOR HEARING

History Note: Authority G.S. 95-4; 150B-23;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. July 1, 1988; December 1, 1985;

Repealed Eff. April 1, 2001.

13 NCAC 01B .0503 GRANTING OR DENYING HEARING REQUESTS

13 NCAC 01B .0504 NOTICE OF HEARING

13 NCAC 01B .0505 WHO SHALL HEAR CONTESTED CASES

13 NCAC 01B .0506 PETITION FOR INTERVENTION

13 NCAC 01B .0507 TYPES OF INTERVENTION

13 NCAC 01B .0508 DISQUALIFICATION OF HEARING OFFICERS

History Note: Authority G.S. 95-4; 150B;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977; Amended Eff. December 1, 1985; Repealed Eff. July 1, 1988.

# SECTION .0600 - ADMINISTRATIVE HEARINGS: DECISIONS: RELATED RIGHTS AND PROCEDURES

# 13 NCAC 01B .0601 FAILURE TO APPEAR

*History Note: Authority G.S.* 95-4; 150B-25(a);

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. July 1, 1988.

# 13 NCAC 01B .0602 SIMPLIFICATION OF ISSUES

History Note: Authority G.S. 95-4; 150B-33;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. April 1, 2001.

13 NCAC 01B .0603 SUBPOENAS 13 NCAC 01B .0604 DEPOSITIONS

History Note: Authority G.S. 95-4(2); 150B-27; 150B-28(a);

Eff. February 1, 1976;

Readopted Eff. September 30, 1977; Amended Eff. December 1, 1985; Repealed Eff. July 1, 1988.

13 NCAC 01B .0605 REQUEST FOR DEPARTMENT RECORDS

13 NCAC 01B .0606 ASSISTANCE OF COUNSEL

13 NCAC 01B .0607 FINAL DECISIONS IN ADMINISTRATIVE HEARINGS

13 NCAC 01B .0608 APPLICABILITY

History Note: Authority G.S. 95-4; 95-4(2); 150B-2(2); 150B-23(a); 150B-28(b); 150B-36;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. April 1, 2001.

#### SUBCHAPTER 1C - PERSONNEL RULES

#### **SECTION .0100 - AFFIRMATIVE ACTION POLICY**

13 NCAC 01C .0101 **GENERAL PROVISIONS** 

13 NCAC 01C .0102 ASSIGNMENT OF RESPONSIBILITY

13 NCAC 01C .0103 JOB STRUCTURING

History Note: *Authority G.S.* 95-4(2);

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. July 1, 1988; Repealed Eff. April 1, 2001.

13 NCAC 01C .0104 TRAINING AND EDUCATION 13 NCAC 01C .0105 PROGRAM EVALUATION

*Authority G.S.* 95-4(2); History Note:

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. July 1, 1988.

#### 13 NCAC 01C .0106 DISCRIMINATION COMPLAINTS

*Authority G.S.* 95-4(2); History Note:

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. April 1, 2001.

# **SECTION .0200 - EMPLOYMENT POLICY**

13 NCAC 01C .0201 GENERAL PROVISIONS

13 NCAC 01C .0202 APPLICATION PROCEDURE

JOB VACANCY ANNOUNCEMENTS 13 NCAC 01C .0203

13 NCAC 01C .0204 **INTERVIEW PROCEDURES** 

13 NCAC 01C .0205 HIRING PROCEDURES

*Authority G.S.* 95-4(2); History Note:

Eff. February 1, 1976;

Amended Eff. October 7, 1976; Readopted Eff. September 30, 1977;

Amended Eff. July 1, 1988;

Repealed Eff. April 1, 2001.

#### 13 NCAC 01C .0206 **ORIENTATION PROCEDURES**

*Authority G.S.* 95-4(2); History Note:

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. July 1, 1988.

# **SECTION .0300 - PARKING POLICY**

13 NCAC 01C .0301 PARKING POLICY
13 NCAC 01C .0302 EXCEPTION TO POLICY

*History Note: Authority G.S.* 143-340(18);

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. July 1, 1988.

#### SECTION .0400 - EMPLOYEE APPRAISAL AND INCREMENT RULES

13 NCAC 01C .0401 PERFORMANCE APPRAISAL
13 NCAC 01C .0402 MERIT INCREMENT AWARD

History Note: Authority G.S. 95-4;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. July 1, 1988.

#### **SECTION .0500 - GRIEVANCE PROCEDURES**

13 NCAC 01C .0501 GENERAL PROVISIONS

13 NCAC 01C .0502 DISCUSSION WITH IMMEDIATE SUPERVISOR

13 NCAC 01C .0503 APPEAL TO PERSONNEL OFFICER

13 NCAC 01C .0504 APPEAL TO EMPLOYEE GRIEVANCE COMMITTEE

13 NCAC 01C .0505 FINAL DECISION BY COMMISSIONER

13 NCAC 01C .0506 APPEAL TO THE STATE PERSONNEL COMMISSION

*History Note: Authority G.S.* 95-4(2); 126-34;

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Repealed Eff. July 1, 1988.